

Transfer Form

Use this form if you are an existing investor and wish to transfer units to another investor.

Please complete all sections in block capitals using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

1. Instructions if you are transferring units to an existing investor.

If you are transferring units to an existing investor, the following needs to be completed:

- transferor needs to write their account number and account name as it appears on the latest periodic or transaction statement – Section 2
- transferee needs to write their account number and account name in section 3 as it appears on the latest periodic statement or transaction statement
- both the transferor and transferee need to sign this form as per the 'Signing instructions' in section 5.

2. Instructions if you are transferring units to a new investor.

If you are transferring units to a new investor, the transferor needs to complete the following:

- write their account number and account name as it appears on the latest periodic or transaction statement
- sign this form as per the 'Signing instructions' in Section 5.

The transferee needs to:

- write their name in section 4 of this form and then sign the form as per the 'signing instructions' in section 5
- complete the fund's current application form
- complete the relevant identification document accompanying the application form
- arrange for copies of their identification documents to be certified and
- complete the (FATCA/CRS) Form in Section 7 of the Application Form

3. Send your documents to us.

Before you submit your transfer form, please check that:

- both the transferor and transferee have signed the transfer form
- the transfer form and application form (if applicable), along with relevant identification form and documents and the US Tax Status Form (if applicable) are included.

Please fax or post your signed transfer form, application and FATCA/CRS Form (if applicable) and certified copies of the relevant identification documents (if applicable) to us.

Send by Fax: +61 2 8262 5492

Send by Post: RBC Investor Services Trust Registry Operations
GPO Box 4471
Sydney, NSW 2001
Australia

Legal notices

Equity Trustee Limited ABN 46 004 031 298 AFSL 240975. Read and ensure you understand the Product Disclosure Statement (PDS) for the trust. The PDS contains important information about investing in the Fund and you should read it before applying for units. The PDS is available on the website at www.daltonstreetcapital.com.au or from your financial adviser. Dalton Street will provide on request and without charge a paper or electronic copy of the current PDS and any document which updates the PDS.

Equity Trustee is committed to ensuring the confidentiality and security of your Personal Information. We and our unit registry handle your Personal Information in accordance with the Privacy Act 1988 and our respective privacy policies, which can be accessed at our respective websites.

1. Transfer details

Please indicate which fund your transfer relates to.

FUND NAME	CONSIDERATION AUD (if applicable)	TRANSFER OPTION (indicate preference with an X)	
		Balance	Number of units
Dalton Street Capital Absolute Return Fund (APIR: ETL0469AU)	AUD \$	<input type="checkbox"/>	<input type="checkbox"/>
	AUD \$	<input type="checkbox"/>	<input type="checkbox"/>

No Change of Beneficial Ownership

2. Transferor/seller details

Account number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Account name

3. Transferee/buyer - existing investors only

If you are transferring units to an existing, please complete the details below.

Account number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Account name

4. Transferee/buyer – new investors

If you are transferring units to a new investor, please complete the details below. The following forms also need to be completed by the new investor and can be obtained from the Product Disclosure Statement

- Application Form
- Identification Form, and
- FATCA/CRS Form

New investor details

Title

Full given names

Surname

Company/Trust/Superannuation fund

5. Signing instructions

By completing and signing this form, you:

- authorise us to act according with the instructions on this form
- acknowledge that the instructions on this form supersede, and have priority over, all previous instructions received by us, and
- agree to indemnify us from and against all losses, costs, expenses, claims, actions or proceedings brought against us in connection with following your instructions on this form.

Who needs to sign this form

Individual – where the investment is in one name, the account holder must sign.

Joint Holding – where the investment is in more than one name, all of the account holders must sign.

Companies – where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust – the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney – if you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and Certified Identification Document of the Power of Attorney. I/we attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

Transferor/seller

Signature of investor 1, director or authorised signatory

Please print full name

Date (DD/MM/YYYY)

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Company officer (please indicate company capacity)

- Director
- Sole director and company secretary
- Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date (DD/MM/YYYY)

 / /

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

Transferee/buyer

Signature of investor 1, director or authorised signatory

Please print full name

Date (DD/MM/YYYY)

 / /

Company officer (please indicate company capacity)

- Director
- Sole director and company secretary
- Authorised signatory

Signature of investor 2, director/company secretary or authorised signatory

Please print full name

Date (DD/MM/YYYY)

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Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory